Cox#21-CV-05349- EXHIBITS

Petitioner's Respuse

## Note: Exhibits are page numbered separately [starting #1 to #33]

- Exhibit-A: Defendants Retaliation/Harassment/Intimation Documents [after the filing of Amended Complaint]:
  - A-1: Incident#5 5/10/2022 Inmate SOCTP Behavior Contract for -"II lacks Responsibility & Accountability for Offending" [forcing Petitioner to lie/provide untrue facts]; [# of Pages 11]; Page #s: 3-13
  - A-2: Incident#6 5/30/2022 Collins CF [IGRC IGPS Becker] throwing the Grievance aside to protect Defendants [against Legal Action] in systemic corruption, corruption and conspiracy; [# of Pages 8]; Page #s: 14-21

- Exhibit-B: Petitioner SOCTP Program Records and Evaluations [after the filing of Amended Complaint];
  - B-1: Petitioner SOCTP Treatment Plans [see #1 "Increase accountability for sexually offending behaviors"] [# of Pages 1];
    Page #s: 23
  - B-2: Petitioners SOCTP Monthly Evaluations [April/May/June] [# of Pages 3] Page #s: 24-26
  - B-3: Petitioners SOCTP Treatment Progress [Clinical Record] [# of Pages 7]
    Page #s: 27-33

Core# 21-CV-05349-VB

Exhibit (A)



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NEW YORK Corrections and Community Supervision	GRIEVANCE NO. COL-0167-22	DATE FILED 05/20/2022
	COLLINS	POLICY DESIGNATION INSTITUTIONAL
INCARCERATED GRIEVANCE PROGRAM	TITLE OF GRIEVANCE BEHAVIORAL CONTRACT	CASE CODE 06
SUPERINTENDENT RESPONSE  GRIEVANT	SORERINTENDENT'S SIGNATURE	DATE 06/23/2022
SANJAY TRIPATHY	18R1673	HOUSING UNIT

Grievant submitted a complaint regarding the receipt of a behavioral contract for their therapeutic program, believing it is based on wrong facts with the intent to have them removed from the program. Investigation found the Grievant was issued the behavioral contract based around the lack of responsibility for offending. The contract is a means to help the Grievant rehabilitate and assist towards positive programming. The Grievant cites a recent monthly evaluation that scored as highly motivated. This is accurate, but the contract is focused on an area of acceptance of responsibility for the offending behavior that the Grievant's evaluation states they often need prompting for. There is no evidence the behavioral contract was written as anything but a means of assisting the Grievant continue positive programming.

Grievance is denied.

NOTE: This appeal is timely amd mailed via Collins CF Law Library Secure Mail

				DCCGIC MALL
Appeal to (	CODC [	6 /27 /2000		

Appeal to CORC [6/27/2022] - by APPEAL STATEMENT Sanjay Tripathy; 18R1673; If you wish to appeal the above decision of the Superintendent, please sign below and return this copy to the IGRC at the facility where the grievance was filed. You have seven (7) calendar days from receipt of this notice to file your appeal.\* Please provide

a reason why you are appealing this decision to CORC.

Denial by IGRC/Suiperintendent is a total disregard of facts, logic and ration ale and therefore CORC appeal. Note - Behavior Contract was given despite an evaluation score of 16/20 [passing is 11] and the Appeal denial says it was not good enough. So the question is - do I need a perfect score of 20 [and if so then noone will ever pass SOCTP]. This is meritless and thus the sole logical conclusion is retaliation [and DOCCS culture that staff is always right; thank

the fouring fathers that we have courts]. This appeal must be granted, and necessary discretion taken against perpetrators. DATE 6/27/2022

GRIEVANCE	CLERK'S SIGNATURE
-----------	-------------------

DATE

\*An exception to the time limit may be requested under Directive #4040, section 701.6 (g)

Form 2133 (12/21)



y 11 presented Behavioral Contract with a score in
the Highly Motivated (16-20) prea. Il obtained a score of 16.
Date Returned to Inmate: 6/9/22 IGRC Members: 2 000
Chairperson:
STEEL
Return within 7 calendar days and check appropriate boxes.*
I disagree with IGRC response and wish to appeal to Superintendent.  I have reviewed deadlocked responses. Pass-Thru to Superintendent.
I agree with the IGRC response and wish to appeal to the Superintendent.  Appeal to Superintendent - Collins CF [6/15/2022] - Sanjay Tripathy; 18R1673  Facts are undisputed - Crievert has
Facts are undisputed - Grievant has an excellent performance [also recognized by IGRC (see above] and attached Exhibit-dated 5/3/2022 showing score of 16/20 [written 5/6/2022] after the performance review. Question is logically why and Signed: Sanjay Tripathy [written 5/2022; 6:35pm] and for what purposes besides Grievant Date
investigated impartially with facts and and reven history of]. Matters must be
and behavior contract rescinded. See Brotz's response [attached] which is a sham and does not address the Hacts. Attached - 7 pages for Supererintendent's review [on the record]. Nothing typed below this line.
Grievance Clerk's Receipt Date
To be completed by Grievance Clerk.
Grievance Appealed to the Superintendent:  Date
Grievance forwarded to the Superintendent for action:
*An exception to the time limit may be requested under Directive #4040, section, 701.6(g)

Case 6:22-cv-06469-FPG Document 60-1 Filed 07/11/22 Page 4 of 34
FORM 2131E (REVERSE) (9/12)
Response of IGRC:



KATHY HOCHUL Governor

ANTHONY J. ANNUCCI Acting Commissioner

**MEMORANDUM** 

To: J. Becker - IGPS

From: Dr. Brotz, Psychologist

Date: 5/23/2022

Subj: Tripathy, S. (18R1673)

This memo is in response to above-mentioned I/I's allegations within which he contends he was given a Behavioral Contract based on "wrong facts". Tripathy believes he was given the behavioral contract as the result of continued harassment and discrimination. He requests that the Behavioral Contract be rescinded

Behavioral Contracts, like other interventions, are designed to act as interventions; specifically, a way to assist participants in getting back on track when they are struggling in a particular area. Behavioral Contracts are in no way punitive in nature. Il Tripathy was given a Behavioral Contract centered around lack of responsibility for offending as this is an area that he struggles with. This issue is well documented in the SOMTA notes authored by his group facilitator and treatment staff.

Il Tripathy has told staff he takes the program seriously and is invested in his treatment here. If that is accurate, one would expect that Il Tripathy would appreciate and embrace the extra assistance. Il was given the contract in an effort to help him improve; and was not motivated by harassment or retaliation.

Il will be removed from the Behavioral Contract after 30 days if he demonstrates improvement in the identified areas.

R. Brotz, Psychologist

Jakol Jakol



FORM 2131E (9/12)

STATE OF NEW YORK - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

INMATE GRI Discrimination/Retaliation/Harass	EVANCE COMPLAINT
	Grievance No.
COLLING	#COL-0167-22
COLLINS	CORRECTIONAL FACILITY
	Date: Tuesday; 5/17/2022
Name: Sanjay Tripathy	Dept. No.: 18R1673 Housing Unit:D3/34
	Program: SOCTPizned AM Unassigned PM
	Law Library Clerk EVE
	Law Library Clerk EVE filed within 21 calendar days of Grievance Incident)*
Description of Problem: (Please make as brief as poss	ible) See attached [Letter, Exh-A & Exh-B]
6 pages which detail with proof ho	ow Dr. Brotz and SORC Cotton [SOCTP Program]
have given Grievant "Behavior Cont	ract" based on wrong facts, in an arbitrary
	discretion, in further retaliation and
	ally remove Grievant from the SOCTP program,
	his liberty interests [including Good Time
	tions, Civil Confinement proceedings].
Grievant Sy 2517-11712025	
Grievance Clerk:	Supreme Court Date:
	earing with IGRC requested but no Prior eeting with UGRC Clerks needed;
volidit ledgested by littlate 20015 - Deliavior	Contract to be rescinded and detailed
explanation by Dr. Brotz and SORC	Cotton to answer this Grievance.
xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	**************************************
The Grievance has been formally resolved as follows:	
This Informal Resolution is accepted: To be completed only if resolved prior to hearing)	
Grievant	
Signature:	Date:

If unresolved, you are entitled to a hearing by the Inmate Grievance Resolution Committee (IGRC).

\* An exception to the time limit may be requested under Directive #4040, section 701.6(g).





## New York State DOCCS SOCTP [Sex-Offender Counselling and Treatment] Program

To: Dr. R. Brotz, Psychologist and D. Cotton, SORC - SOCTP Program; Collins CF;
Cc: Anthony J. Annucci, Commissioner; Cathy Shhehan, DC & Counsel; Jeff McKoy,
DC Program Services; Brian McCallister, Director SOCTP; DOCCS Albany;

Cc: K. Vannote, Acting DSP; C. Blanar, LMSW2 SOCTP Program; Collins CF;

Date: Monday, May 16th, 2022

From: Sanjay Tripathy [Petitioner]; 18R1673, Collins CF, PO Box 340, Collins; Subject: SOCTP Program Behavior Contract [5/10/2022] by Dr. Brotz/SORC Cotton [Arbitrary & Capricious; Abuse of Discretion; Retaliation/Harrassment]

Attached [Exhibit-A; 2 pages] is a "Behavior Contract" given to Petitioner [presumably by Dr. Brotz and/or SORC Cotton], in arbitrary & capricious action, an abuse of discretion, based on wrong facts essentially for retaliation and harrassment - should be rescinded immediately.

## Background - Material Facts [Undisputed]:

- 1. Petitioner's Static99R score is 1; per DOCCS SOCTP Manual [2016/2018] he should only undergo the low-risk SOCTP program; but Dr. Brotz put in an overide, that was approved by McCallister [11/9/2021]; thus despite strong objections Petitioner is forced to undergo/complete the moderate-risk SOCTP [which is longer and more expensive to the taxpayer];
- 2. Successful completion of the SOCTP program is related to substantial benefits for Petitioner [SORA, SOMTA, Parole Conditions] including liberty interests; Correspondingly lack of completion [including being kicked out] will have severe negative consequences [including but not limited to loss of good time credits];
- 3. Petitioner sucessfully completed Level-1 curriculum [on 3/11/22] and started the Level-2 [as part of moderate-risk] on 3/14/2022; Petitioners Level-2 Instructors [staff] have primarily been [except for 1 theory module on 4/19/2022 cofacilitated by Dr. Brotz for 1 hour] ORC Rogers, LMSW2 Jakiela, and LMSW2 Blanar;
- 4. Petitioner assessments for March [Level-2 starting 3/14/22] have been 14/16 [Top Performance] and April [full Level-2] have been 16/20 [Top Performance]; April Assessment [Exhibit-B; 1 page] was done by ORC Rogers and LMSW2 Jakiela [as Petitioner was only in LMSW2 Blanar's class since 4/25/2022];

Sanjay Tripathy; 18R1673; Collins CF, Middle Road, PO Box 340, Collins, NY An innocent man illegally incarcerated and religiously persecuted by The State of New York and State Actors acting under the Color of Law;

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## New York State DOCCS SOCTP [Sex+Offender Counselling and Treatment] Program

- 5. April [Monthly Eval] 4 categories Petitioner received a score of 1 [the 2nd Highest of 1 each; Top score is 2 each]; Note scores of 1 in "Demonstrates Acceptance of Responsibility for Sexually Offending Behavior" and "Demonstrates personal insight into sexual offending Behavior"; Further this Monthly eval was done by Rogers/Jakiela on 5/3/22 [received by Petitioner on 5/10/22]
- 6. Behavior Contract was received by Petitioner [5/10/2022] given by LMSW2 Blanar [received by Petitioner under severe protest and objections]; the Due Date shown is 6/6/2022 [means it was written on 5/6/2022]; It should be noted that Ms. Blanar conveyed to Petitioner verbally "I am just the messenger" [means Even if I have reservations I have been told to give this to you]; Problematic Behavior [cited] lacks responsibility & accountability for offending; places blame on the victim for offending; and minimizes violence and victim harm; and its lists Goals, Actions to be Taken, How will sucess be determined;
- 7. Status of Petitioner's Level-2 Curriculum [Moderate-Risk] Mandatory SOCTP Assignments: Disclosure [completed and presented in Class on 4/26/2022 with LMSW2 Blanar; successfull completion]; Sexual-Abuse Cycle [50%+ completed & presented in Class on 5/11 and 5/13 with LMSW2 Blanar]; Clarification [Written Draft submitted to LMSW2 Blanar];

### Material Facts [Inferenced]:

- 8. See Goals [Exhibit-B; Behavior Contract] extensively reference the Disclosure Assignment [completed 4/26/22] was completed even before this Behavior Contract was written; Rest goals either already completed or in process with Sexual Abuse Cycle Assignment [see #7]; Note [see #4] Monthly Evals [Top Performance]; so clearly there were no/zero issues with the Petitioner's performance [SOCTP] but still a Behavior Contract was given; Question is Why and What purpose does it serve besides harassment and retaliation, and the nefarious, machiavellian motive of kicking him out [Petitioner] from the SOCTP program;
- 9. Who authored this "Behavior Contract" Petitioner is unsure, but can conclude [with a balance of probabilities] that it is Dr. Brotz and/or SORC Cotton [ruling out ORC Rogers, LMSW2 Jakiela and LMSW2 Blanar]; and Why was it authored except to retaliate/harrass [as it is based on wrong facts];

Sanjay Tripathy; 18R1673; Collins CF, Middle Road, PO Box 340, Collins, NY An innocent man illegally incarcerated and religiously persecuted by The State of New York and State Actors acting under the Color of Law;

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## New York State DOCCS SOCTP [Sex=Offender Counselling and Treatment] Program

10.It is also fair to conclude a pattern of retaliation and harassment by primarily Dr. Brotz and to some extent SORC Cotton; Multiple such instances are documented [Grievances, Letters, Lawsuits] as Incident#1 [12/30/21] for Petitioner using staff [SOCTP] full name in written communications, obtained via FOIL; Incident#2 [4/4/2022] where Brotz/Cotton gave Petitioner a meritless /frivolous Ticket which was thrown out on 4/14/22 as Petitioner was 100% right [per DOCCS policy]; Incident#3 [4/11/222] where someone [must be a record that will come out during Discovery] Ordered a 3 hour search of Petitioner's legal work on suspicion, and many [in process] legal motions were removed but not returned; Incident#4 [4/19/2022] Petitioner was moved [without any knowledge or Info] by Dr. Brotz [instructions] from Dorm D4 to D3 [which Dr. Brotz agreed after Petitioner filed a Grievance];

Petitioner has a stellar disciplinary record [and would like to keep it that way] and sole goal is to exonerate [as he is 100% innocent of all charged crimes; and he has a clean criminal history with no blemishes except the I/O which is based on a House of Lies], and focus staying safe, out of trouble, completing all programs, while navigating the stressful and inhumane prison environment, and fighting legally for his constitutional/statutory rights.

Therefore, Petitioner pleads that this Behavior Contract be rescinded, and he be allowed to complete the SOCTP program without any harassment and retaliation. Respectfully Submitted,

Sanjay Tripathy

Petitioner

Sanjay Tripathy; 18R1673; Collins CF, Middle Road, PO Box 340, Collins, NY An innocent man illegally incarcerated and religiously persecuted by The State of New York and State Actors acting under the Color of Law;

1 -1. (g)

# State of New York Department of Correctional Services Sex Offender Counseling and Treatment Program Behavioral Contract

Name /	DIN:	Tripathy.	Sanjay 18R1673	
	_		<u> </u>	

#### Problematic Behavior:

I/I lacks responsibility & accountability for offending.

I/I places blame on the victim for offending.

I/I minimizes violence and victim harm.

#### Goal:

I/I will demonstrate responsibility & accountability for sexually offensive behaviors.

I/I will complete and present his disclosure in a way that demonstrates personal insight and accountability for all sexually offensive behaviors.

I/I will be able to identify specific sexually offensive behaviors he exhibited, why they were not consensual; as well as triggers and high risk factors associated with the behavior.

I/I will increase his level of personal insight.

I/I will identify the ways in which he harmed the victim physically, psychologically, and emotionally. He will be able to identify and discuss the impact of this event on his victim.

#### Action to be Taken:

I/I will identify at least 2 factors that he feels may have contributes to his offense(s).

I/I will discuss how his behavior relates to programmatic material as required by the curriculum in order to increase his level of personal insight.

I/I will identify & discuss the thoughts & feelings that led to offending.

I/I to complete above - mentioned disclosure assignment.

4-1 (10)

During group, I/I will ask his peers for feedback regarding his level of responsibility and the harm inflicted on his victim. I/I will listen attentively, try not to get defensive, keep an open mind, and consider all feedback he is given.

#### How will Success be Determined?

Staff observation.

Acievement of goals listed above.

Daily independent participation.

Staff observation.

Follow up meeting with the treatment team upon completion of contract.

Sign	This with severe profest 5/10/2022 and objections
Incarcerated Individual (Print Name)	DIN #
Incarcerated Individual (Sign)  Staff Name & Title	Date 57/0/20
Plan Completion Review:	Plan Completed? ☐ Yes ☐ No
Choff Davisuring Diam	

A-1 (11)



ANDREW M. CUOMO Governor ANTHONY J. ANNUCCI Acting Commissioner

**ATTACHMENT 12** 

Sex Offender Counseling and Treatment Program

Wonthly Evaluation for Moderate/High Risk (Level II. Jii) Participants

Name TRIPATHY, Sanjay		Month/Yea	-	APR 2022
DIN 18 R 1673		Month/Yea	ır began program	11/2021
INDICATOR:		DEMONSTRATE	S BEHAVIOR:	
· · · · · · · · · · · · · · · · · · ·	Consistently & independently	Often, with prompting	Occasionally, with substantial prompting	
Understanding of program material	<u>x</u>		•	·
Engagement in group	x			
Displays appropriate behavior	x		<del></del>	<del></del>
Accepts criticism/feedback		<u>x</u>		
Supportive of staff/peers	<u>X</u>	_		
Sets goals/ takes steps to accomplish		X		
Completes homework thoroughly/timely	x		•	
Demonstrates acceptance of responsibility for sexual offending behavior		$\bigcirc$ X		
Demonstrates personal insight into sexual offending behavior		$\times$		. —
Follows group/facility standards	<u>X</u>			
Column Score	12	4	Q	
Total Score	<u>(16)</u>			_
Demonstrates behavior consistently & independent Demonstrates behavior often with prompting (1 po Demonstrates behavior occasionally with substant Does not demonstrate the behavior at all (0 points	oint) tial prompting (.5 points)	Motival Needs	ted 1 Improvement 6	6-20 1-15 -10 0-5
wo consecutive monthly evaluations reflecting prelaming Plan Review Committee for consideration of appr	articipation at a level of "nee opriate interventions or remo	ds Improvement" or b val from the program	elow will result in an app ı.	pearance at the Treatm
Freatment Staff Comments: Continue your				
eedback to the group. Continue	with journaling and	completing h	omework as assi ۔	gned.
Offender Comments:		him	5/10/2022	
ORC 7. Rogers and, SW 4. Jakiela	<i>5 8 22</i>			Da
Treatment Staff Signature	Date	inmate	Signature	DE



MATHY HOCHUL

ANTHONY J. ANNUCCI Acting Commissioner

**ATTACHMENT 12** 

Sex Offender Counseling and Treatment Program

Monthly Evaluation for Moderate/High Risk (Level II, III) Participants

加設 「作 <b>の数</b> 的),Sanjay Magai <sup>188</sup> 間発症		Month/Yea Month/Yea	r r began program	May 2022 November 2021
ANDICATOR:				_1101CHIDE/ 2021
Opeta de Sino.	Consistently &	DEMONSTRATE Often, with	S BEHAVIOR:	
Managarian da	independently (	prompting )	Occasionally, wi substantial prompti	Not at all
连的"快"。	_		Sapotaritiai piorripii	
derstanding of program material	<u>x</u>	•		
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al Score	13.5			
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phistrates behavior often with prompting (1 po histrates behavior occasionally with substant	int)	Motivated		11-15 )
foldemonstrate the behavior at all (0 points	ial prompting (.5 points)	Needs im	provement	5-10
	•			0-6
dissecutive mortinly evaluations reflecting pa	rticipation at a level of "needs i	mprovement" or bel	ow will result in an and	earance at the Tree
Review Computee for consideration of appro	priate interventions or removal	from the program.	and the same of th	Journal of the 1162
ment Staff Comments: This evaluation is refli	ective of a behavior contract for	limited responsibili	ty. You are an active p	articipant in group a
cful to staff and peers. You demonstrate a hi pp and demonstrate increased insight.	gn level of comprehension of the	ne material. Continu	e to make personal co	nnections to the ma
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Marie Contract Contra				



#### Grievance Practices at Collins CE

To: J. Becker, IGPS Collins CF [NYS DOCCS]

Cc: Cathy Sheehan, Deputy Commissioner & Counsel Albany [NYS DOCCS]

Cc: Rachael Seguin, IGP Director Albany [NYS DOCCS]

Cc: Leanne Latona, Superintendent Collins CF [NYS DOCCS]

Cc: Kelly R. VanNote, DSP Collins CF [NYS DOCCS]

Date: Saturday, June 25th, 2022

From: Sanjay Tripathy [Petitioner]; 18R1673, Collins CF [Custody of NYS DOCCS]

Subject: Grievance Practices at Collins CF - Negative Impact on Petitioner

Attachments: Grievance [4/18/22], letter and Response [3 pages];

Letter [5/30/22], Grievance [5/30/22] and Affidavit of Service [5/30/22 with Notary] [3 pages]; Total 6 pages

As of today [6/25/2022] my Grievance [5/30/2022] has neither been answered nor an acknowledgement/receipt been provided which is 100% non-compliance of DOCCS Directive #4040 and Corrections Law/NYCRR Title 7 Regulations.

I donot know any good reasons of why these efforts to potentially not log any Grievances [i have over 10 personal examples] or throwing them away is taking place, but the impact is clear - lack of due process, collusion/corruption and conspiracy, arbitrary & caricious action, an abuse of discretion whereby either the grievance process is unavailable, or a dead end or deliberately managed in a nefarious manner to deny justice.

It should be noted that Petitioner has been at Gowanda CF and Fishkill CF where Grievance receipts were provided as soon as logged and thus there were minimal issues.

I ma raising these issues witha lot of concern and a potential for retaliation by staff [or others instigated by staff] and therefore not only urge a thorough investigation into these corrupt practices, and a speedy resolution to my Grievances. I donot expect relief as I well aware of DOCCS culture [that staff is always right] so I am doing the minimum so I can justice via our Courts. I am sure in the end justice will prevail as you may have seen over the last 2 days of decisions by the US Supreme Court [Guns and Abortion].

Respectfully Submitted,

Sanjay Tripathy

An innocent man illegally incarcerated [1502 days & counting], religiously persecuted and wrongly retaliated against by The State of New York and State Actors acting under the Color of Law;

### Affidavit/Certificate/Statement of Service

STATE OF NEW YORK

COUNTY OF ERIE SS:

I, Sanjay Tripathy; 18R1673, being duly sworn under Penalty of Perjury, says: I am over 18 years of age and currently reside at [Custody of NYS DOCCS] - Collins CF, Middle Road, PO Box 340, Collins, NY 14034-0340.

On the 25th day of June , 2022 I served [mailed under Prison Mailbox rules] the annexed documents [listed below] by mailing them in a sealed envelope, with postage prepaid [or internal NYS DOCCS/Facility Mail] thereon, in a post office or official depository of the US Postal Service [under the direct and full control of NYS DOCCS], addressed to the following [listed below] parties at their last known address[es] and all documents [information] are true to the best of my knowledge.

#### List of Documents:

- 1. Cover letter with Affidavit of Service [2 pages];
- 2. Letter [5/30], Grievance [5/30] with Affidavit of Service [3 pages];
- 3. Grievance [4/18], Letter [5/24] and Response [5/25] [3 pages];
- 4. Total Pages [Mailed] = 8 pages

#### Addressed to Parties:

5.

- 1. Joshua F. Becker, IGPS, Collins CF, Middle Road, PO Box 490, Collins, NY 14034-0490
- 2. Cathy Sheham, DC & Counsel, DOCCS, 1220 Washington Ave., Albany, NY 12226-
- 3. Rachael Seguin, IGP Director, DOCCS, 1220 Washington Ave., Albany, NY
- 4. Leanne Latona, Superintendent, Collins CF, Middle Road, PO Box 490, Collins,
  NY 14034-0490
- 5. Kelly R. VanNote, DSP, Collins CF, Middle Road, PO Box 490, Collins, NY
  14034-0490

Respectfully Submitted,

Sanjay Tripathy; 18R1673;

An innocent man illegally incarcerated, religiously persecuted and wrongly retaliated against by The State of New York and State Actors acting under the Color of Law;

Sworn to before me on

25th day of June , 2022

Notary Public

DANIEL J. DAREL
Notary Public, State of New York
No. 01DA6194226
Certified in Erie County
Commission Expires September 29, 2024

A-2 (15)

To: J. Becker; IGP Supervisor - Collins CF [NYS DOCCS];

Cc: Cathy Sheehan, Deputy Commissioner & Counsel - Albany [NYS DOCCS];

Cc: Leanne Latona, Superintendent - Collins CF [NYS DOCCS];

Date: Sunday, May 30th, 2022

From: Sanjay Tripathy [Petitioner]; 18R1673, Collins CF [NYS DOCCS Custody];

Subject: Grievance - Inmate Grievance Practices at Collins CF

Attachments: Grievance [1 page], Affidavit of Service [1 page]; Cover letter

[1 page] = Total 3 pages

As on numerous ocassions before Petitioner has brought the following IGRC practices to your attention [that are hurting my ability to access the Courts], that are contrary to the letter and spirit of the Grievance Process [per NYS DOCCS Directive #4040; and US Circuit Court of Appeals 2nd Circuit ruling in Hayes v. Dahlke, 2021].

- 1. I have never [in 10+ Grievance since 10/19/2021 at Collins CF] received a Grievance Receipt # after my Grioevance has been received at IGRC, therefore I have no way of knowing whether my Grievance has been received, lost or potentially trashed. 2nd Gircuit is clear if the Grievance process is denied unavailable or has no hope of a resolution/relief, then it could be grounds for full complaince [PLRA] by the Courts.
- 2. My Grievance [on Incident of 4/4/2022 frivoidus ticket by Dr. Ryan Brotz, which was thrown out on 4/14/2022 as it was wrong] was subject to my 4/18/22, which you claim was not received and is now timed out seems like an attempt to save a colleague, with a clear intent of procedure and reasons like the dog ate my homework. I am unsure of the reasons exactly but speculate it not to be good, as the result is Brotz seems to be off the hook and thus does not have to respond in writing. Please note I know my legal rights well and will pursue them to the maximum extent of the law. I do have the means and the intent to exqure that people are served and there is a paper trail [even if I have to hire a process server].
- 3. I donot have any malintentions but am fighting for my legal rights. Thus, please advise how you pland to reinstate my 4/18/22 [timely Brotz's retaliation Grievance].

Please keep my information confidential as I donot want to be retaliated agains by staff or others [including 1/1] instigated by staff

by staff or others [including I/I] instigated by staff.

Respectfully Submitted - Sanjay Tripathy & MJ-130/2022

An innocent man illegally incarcerated, religiously persecuted and wrongly retaliated against by The State of New York and State Actors acting under the Color of Law;

Sanjay Tripathy; 18R1673, Collins CF, PO Box 340, Collins, NY 14034-0340

A CONTRACT OF THE PROPERTY OF

A-2

Case 6:22-cv-06469-FPG Document 60-1 Filed 07/11/22 Page 17 of 34

FORM 2131E (9/12)

STATE OF NEW YORK - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

#### **INMATE GRIEVANCE COMPLAINT**

			Grievance No.	
Collins CF [NYS DOCCS]	CORRECT	FIONAL FACILITY		
	CORRECT	ΓΙΟΝΑL FACILITY Date:	Collins CF-LL [Sunday, 5/30/22	
me: Sanjay Tripathy	Dept. No.:1	8R1673 Housing	· ···	
(Please Print or Type – This form		rary Clerk -	EVE	PM
scription of Problem: (Please make as brico a meritless ticket by Br				
f retaliation [staff] due	to his lawsuits [	federal/state	e] was trashed/m	 ispl
ed by IGPS/IGRC at Collins	CF, which helped	i the perpetra	ator Brotz to no	t
fficially respond to the G	rievance [thus of	ff the hook].	This is nefario	us.
gainst policy and an attem		<del></del>	<del></del>	
etaliation/harassment, whi	och needs to be j	investigated a	and action taken	
Grievant Signature: Sanjay Tripat	Thy 5/30/2022			
Grievance Clerk:		Date:		
visor Requested 🔲 YES 🗓 NO	Who: <u>no premeetir</u> formal IGRC	ng with IGRC (	Clerks; Request	
tion requested by inmate:			ipt # provided	as
oon as Greivance received 1	by IGRC; 3. FOIL	copy of offic	ial response to	thi:
rievance; 4. Personal Info e Grievance has been formally resolved as	confidential amd s follows: instigated	l no retaliati l; by staff;	on by staff/othe	ers
				<del>.</del>
	·	·		
		· · ·		
is Informal Resolution is accepted:  be completed only if resolved prior to hea	aring)			-

\* An exception to the time limit may be requested under Directive #4040, section 701.6(g).

Company of the Compan

#### (Sample)

#### AFFIDAVIT OF SERVICE BY MAILING

COUNTY OF EREE SS.:	
Sanjay Tripathy; 18R1673	, being duly sworn, says: I am not a
party to the action, am over 18 years of age and re	side at Collins CF, Middle Road, PO Box 340,
On the 30 day of May, 2022, I served	Collins, NY 14034-0340
Cover Letter, Grievance, Affidavit of Service [3 pages] by	mailing them in a scaled envelope, with
postage prepaid thereon, in a post office or official	depository of the U.S. Postal Service within
1. J Becker, IGPS, Collins CF	2. Cathy Sheehan, Deputy Commissioner
Middle Road, PO Box 490,	& Counsel, DOCCS, 1220 Washington
Collins, NY 14034-0490	Avenue, Bldg#4, 3rd Floor, Albany, NY 12226-2050

3. Leanne Latona, Superintendent,

Collins CF, Middle Road,

PO Box 490, Collins, NY 14034-0490

(Signature)

(Print Name) Sanjay Tripathy; 18R1673,

Collins CF, Middle Road, PO Box 340, Collins, NY 14034-0340

Sworn to be

Notary Public

JOHN E. ROZESKI Notary Public, State of New York Reg. No. 01R06411971 Qualified in Erie County Commission Expires Dec. 14, 2024



KATHY HOCHUL Governor

ANTHONY J. ANNUCCI Acting Commissioner

S. Tripathy 18R1673

FROM:

J. Becker, IGPS A Baller 1605

DATE:

05/25/2022

SUBJECT:

Attached Tab

I/I Tripathy.

All grievances received from you have been processed and answered with one pending hearing dealing with your grievance against the behavioral contract. The attached was not received, and therefore, could not have been answered or processed, and there are no responses that you can FOIL for. As it was not received, it is not appealable to the Superintendent. There is no action the IGRC can take per Directive #4040 and the date of the alleged incident being more than 21 calendar days. The matter of the attached was dealt with in COL-0132-22 which was dismissed and closed by the IGRC and upheld by me on your appeal. The proper process would have been to file a grievance on my appeal decision. I advise you to work with appropriate staff to ensure you continue positive programming, so a program completion can be achieved.

## Grievance Overdue [per Directive #4040] - Sanjay Tripathy

To: <u>J Becker</u>, IGPS - Collins CF [NYS DOCCS];

Cc: L Latona, Superintendent - Collins CF [NYS DOCCS[;

Date: Tuesday, May 24th, 2022

Subject: Grievance [# - Not Available] Overdue [Response/IGRC Hearing]
From: Sanjay Tripathy [Grievant]; 18R1673, D3/34, Collins CF [NYS DOCCS]

Dear Mr. Becker.

Please see attached [Exhibit-A] my Grievance [which I have also followed up last week for an update] is still unanswered, and there has been no IGRC hearing yet [Grievance # - you have not provided yet; dated 4/18/2022].

Please note it has been over 30 days [since I filed the Grievance] and thus it is overdue. I would like copies [FOIL] of any formal responses that you have received from staff.

Please advise on next steps, as it is now appeallable to the Superintendent [as #2 step in the Grievance process].

Thank you for your attention to this critical matter.

Respectfully Submitted,  $\int \frac{1}{5/29/2022}$ 

Sanjay Tripathy;

Grievant

An innocent man illegally incarcerated, religiously persecuted and wrongly retaliated against by The State of New York and State Actors acting under the Color of Law;

M 2131E (9/12)

STATE OF NEW YORK - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

#### INMATE GRIEVANCE COMPLAINT

KCFALLATION/HAracement/III.comiminotion Chiovena	
Retaliation/Harassment/Discrimination Grievance	

Grievance No.

Collins	CORRECTIONAL F	ACILITY
	,	Date: 4/18/2022: 7:35pm;
ame: Sanjay Tripathy	Dept. No.: 18R1673	Housing Unit:D4/40
	Program: Unassigne	ed AM SOCTP PM
(Please Print or Type – This form must be	filed within 21 calendar	Law Library Clerk - EVE days of Grievance Incident)*
escription of Problem: (Please make as brief as pose for retaliation by Dr. Brotz. On 4) Ticket [Tier2] authored by Dr. Bearing officer [Lt. Sullivan; 4/16] for all charges [as they were meriticket knowing fully well that the till wrote the ticket just to retooth Federal/State where Dr. Broth Grievance fibed and pending a #]. The investigated and appropriate active active active and appropriate active act	4/2022 [received frotz. This ticked frotz. This ticked 4/2022; 6:30pm] less, frivolous] charges were unaliate/harass Grz is a named Def which is subjected #3 [ocurred Thisese events artion taken again xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	d 4/5/2022 Grievant received was thrown out after the found Grievant NOT GUILTY. In the Brotz wrote this afounded/bogus and meritless elevant due to his Lwsuits endant. This is Incident#2 to f a Grievance #COL-0010 4/11/22 which is also a see a pattern and they must set Dr. Brotz.
		14/10/2022
lvisor Requested ☐ YES ██NO Who: Re	quests formal IG eting with IGRC y DOCCS authorit	RC hearing but NO pre- Clerks. ies about this retaliatory
<u> </u>	*****************	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
e Grievance has been formally resolved as follows:		
is Informal Resolution is accepted:  be completed only if resolved prior to hearing)		
Grievant Signature:	en e	Date:
unresolved, you are entitled to a hearing by the Inmat An exception to the time limit may be requested under		ommittee (IGRC). $A-2$

Cone# 21-CV-05849-VB

Exhibit (B)

NEW YORK STATE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION SEX OFFENDER COUNSELING AND TREATMENT PROGRAM

## INDIVIDUAL TREATMENT NEEDS - TREATMENT PLAN

CHECK ONE:

( ) Level 1

(X) Level II

( ) Level III

TREATMENT GOAL	TREATMENT MODALITY	EVALUATION CRITERIA
Increase accountability for sexually offending behaviors.	Group Therapy/ Psycho-educational/daily journaling	Homework completed appropriately/ Staff observation.
Discuss what triggered your negative emotions at the time of offending and how you can intervene in a healthy manner in the future rather than acting out physically.	Group Therapy/ Psycho-educational/daily journaling	Homework completed appropriately/ Staff observation.
Identify ongoing high risk factors and adaptive interventions for each.	Group Therapy/ Psycho-educational/daily journaling	Completing homework, appropriate group participation, staff observation.

By signing below, I am consenting to this treatment plan:

Tripathy, Sanjay

Inmate Name (Printed)

Inmate Signature

06/30/2022

Date

C. Blanar, LMSW2

Program Staff Name (Printed)

06/30/2022

Date

Inmate Name: Tripathy, Sanjay cc: SOCTP File, Incarcerated Individual, DIN # 18R1673 Facility: Collins

Brot 2, Psych Dietemen, ORC



ANDREW M. CUOMO Governor

ANTHONY J. ANNUCCI Acting Commissioner

**ATTACHMENT 12** 

## Sex Offender Counseling and Treatment Program Monthly Evaluation for Moderate/High Risk (Level II, III) Participants

Name	TRIPATHY, Sanjay		Month/Yea	г	APR 2022
DIN	18 R 1673		Month/Yea	r began program	11/2021
	INDICATOR:	· ·	DEMONSTRATE	S BEHAVIOR:	
		Consistently & independently	Often, with prompting	Occasionally, w substantial prompt	
Underst	anding of program material	<u>X</u>			
Engage	ment in group	$\overline{\mathbf{x}}$		·	
Displays	s appropriate behavior	X		<del></del>	<del></del>
Accepts	criticism/feedback		$\overline{\mathbf{x}}$		<u> </u>
Support	ive of staff/peers	<u><b>X</b></u>			<u></u>
Sets go	als/ takes steps to accomplish		<u>x</u>		
Comple	tes homework thoroughly/timely	$\overline{\mathbf{x}}$		·	
	strates acceptance of responsibility for offending behavior		$\bigcirc$		
	strates personal insight into sexual ng behavior		X		
Follows	group/facility standards	X			
Column	Score	12	4	<u>0</u>	
Total S	core	<u>16</u> )		•	
Demonst Demonst	rates behavior consistently & independer rates behavior often with prompting (1 po rates behavior occasionally with substant demonstrate the behavior at all (0 points	oint) tlal prompting (.5 points)	Motivat Needs	motivated  ed improvement minimum standard	16-20 11-15 6-10 0-5
Two cons Plan Rev	secutive monthly evaluations reflecting pa iew Committee for consideration of appro	articipation at a level of "neo opriate interventions or rem	eds improvement" or b oval from the program	elow will result in an a	appearance at the Treatm
Treatmei feedb	nt Staff Comments: Continue your ack to the group. Continue	participation in gr with journaling and	oup. You have d completing ho	omework as as	signed.
Offender	Comments:	·	hur	5/10/202	$\mathcal{V}$
<u>0RC 7. R</u>	ogors and 5W L. Jakida	5 3 22	Inmate	Signature	 Da
	Treatment Staff Signature	Date	inmate	- Signature	L



KATHY HOCHUL

ANTHONY J. ANNUCCI Acting Commissioner

**ATTACHMENT 12** 

Sex Offender Counseling and Treatment Program

Monthly Evaluation for Moderate/High Risk (Level II, III) Participants

Trisally, Sanjay	· · · · · · · · · · · · · · · · · · ·	Month/Yea		May 2022
EUNGASIN 18 HATTA A		Month/Yea	ır began program	November 2021
INDICATOR:		DEMONSTRATE	S BEHAVIOR	<del></del>
THE MENT OF A SAME OF	Consistently & independently	Often, with prompting	Occasionally, w substantial prompt	
illugarstanding of program material	$\overline{\mathbf{x}}$		, , , , , , , , , , , , , , , , , , ,	
Engagement in group  LOUISE See 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	x			
Displeys appropriate behavior	X	•	<del></del>	
Agostis criticism/reedback		<b>X</b> .		
eniolastra ratrato		-		<del></del>
SUDJOTIVe of staff/beers		<b>X</b>		
Sete goals/ takes steps to accomplish		<u><b>X</b></u>		. And the state of
GonfrieteW. Notice (180 et horoughly/timely	<u>X</u>			
Pennone lates the plantance of responsibility for security offending penavior	·		(x)	<u> </u>
Weight the personal Insight Into sexual		$\left(\begin{array}{c} \underline{x} \end{array}\right)$		· · · · · · · · · · · · · · · · · · ·
Vanger Cor. lente	<del> </del>			
FOIDWS DECUMERSHIP standards		<u>X</u> .		• • • • • • • • • • • • • • • • • • •
ர்கு இடி Golumn Score <sub>, வகும்க</sub>	8	<u>5</u>	<u>0.5</u>	
Sessible (Freez) Total Score	(13.5)			
Controls  1.3 (t) Denotystrates behavior consistently & Independent	ly (2 points)	Hiahly r	notivated	16-20
Demonstrates behavior often with prompting (1 points)	nt)	Motivat		11-15
Den of the state of the control of			mprovement ninimum standard	6-10 0-5
Two consecutive partitly evaluations reflecting par Flan Review Computer for consideration of approp	ticipation at a level of "ne oriate interventions or ren	eds improvement" or bo noval from the program.	elow will result in an a	ppearance at the Treatme
Treatment Staff Coppinents: This evaluation is refle respectful to staff and peers. You demonstrate a hig develop and demonstrate increased insight.	ctive of a behavior contra gh level of comprehensio	nct for limited responsib n of the material. Contin	ility. You are an active nue to make personal	participant in group and a connections to the materia
Tienpel Genorging HMM W	10/12		Sontoto	12022
A STATE OF THE PROPERTY OF THE	Date	I/I Signature		Date
couper Communit	y Supervision Folder	Incarcerated individua	ıl	
NYSPOSCS Sex Offender Counseling and Treatm	ont Program	,	· · · · · · · · · · · · · · · · · · ·	<del></del>



#### KATHY HOCHUL Governor

ANTHONY J. ANNUCCI Acting Commissioner

**ATTACHMENT 12** 

Sex Offender Counseling and Treatment Program

Monthly Evaluation for Moderate/High Risk (Level II. III) Participants

Name Tripathy, Sanja DIN 18R1673	iy		onth/Year onth/Year began program	June 2022 November 2021
INDICATOR:		DEMON	STRATES BEHAVIOR:	
		tently & Often, v ndently prompt	with Occasiona	lly, with Not at all
Understanding of program materia	al <u>2</u>	2		
Engagement in group	2	<u>2</u>	· ——-	
Displays appropriate behavior	λ	2		
Accepts criticism/feedback		<u>x</u>		
Supportive of staff/peers		X		
Sets goals/ takes steps to accomp	lish	<u>x</u>		<u>-</u>
Completes homework thoroughly/ti	imely <u>x</u>	-		
Demonstrates acceptance of response sexual offending behavior	onsibility for		X	
Demonstrates personal insight into offending behavior	sexual	_ <u>X</u>		
Follows group/facility standards	<u>x</u>			· · · · · · · · · · · · · · · · · · ·
Column Score	10	<u>4</u>	<u>0.5</u>	
Total Score	14	.5		,
emonstrates behavior consistently emonstrates behavior often with pr emonstrates behavior occasionally oes not demonstrate the behavior a	ompting (1 point) with substantial prompting (.t	5 points)	Highly motivated Motivated Needs improvement Below minimum standard	16-20 11-15 6-10 0-5
wo consecutive monthly evaluations an Review Committee for consider	s reflecting participation at a l ation of appropriate intervent	evel of "needs improveme lons or removal from the p	ent" or below will result in a program.	an appearance at the Trea
eatment Staff Comments: You con propriate behavior and interact in a fending behaviors within the offens	a respectful manner with staff	pant in group and often ref and peers in group. You	ference how the material are encouraged to increa	applies to you. You display se accountability for the se
ffender/Comments:	- 			Sugget !
eatment Staff Signature	Date	I/I Signatu	ire	Date
•	· -	iii Oigilata		

# Case 6:22-cy-06469-FPG Document 60-1 Filed 07/11/22 Page 27 of 34 NEW YORK STATE - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION COLLINS CORRECTIONAL FACILITY SEX OFFENDER PROGRAM

NAME:	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:		<del></del>

DATE	CODE		STAFF
<u> </u>	-		INITIALS
03/21/2022-	SOCTP	Core: I/I was present for a peer's sex abuse cycles and	
04/01/2022		presentations. I/I Tripathy provided appropriate feedback to his peers. He appears to significantly extracts.	LJ, LMSW2
	·	level of responsibility for his sexually offending behavior. He is encouraged to relate to his own offending behaviors in order to demonstrate insight. His participation levels are appropriate in core group. He is generally appropriate while in group.	
4/14/22	SOCTP	I/I was found to be "not guilty" of the misbehavior report mentioned on 4/4/22.	RB,
4/4/22-	SOCTP	Core: I/I was present for a peer's sex abuse cycles and	PSYCH
4/15/22		clarifications. I/I was again appropriate during these presentations. I/I Tripathy provided appropriate feedback to his peers. He appears to continue to struggle with his	LJ, LMSW2
·		which results in him not being able to relate and apply material to self. He is encouraged to relate to his own	9 9 9
		offending behaviors in order to demonstrate insight. His participation levels are appropriate in core group. I/I Tripathy stated he is prepared to present his disclosure and will be completing this in the next several weeks.	
4/18/22	SOCTP	II handed in homework for,5-16 Interventions and Coping Responses and 5-17 Lapse Contracts.	TR, ORC
4/1/22 - 4/15/22	SOCTP	Il completed Section 5 modules 16 & 17. He continues to participate appropriately, however, frequently tries to monopolize the group; not giving others a chance to contribute to the conversation. Continue in level 2	TR, ORC
4/26/22	SOCTE	Il completed Section 5 Module 18 Sex Offense Cycle. Homework completed.	TR, ORC

CODE	REASON	CODE	REASON
DA	DISCIPLINARY ASSISTANCE	PCC	PROGRAM COMMITTEE RELATED CONTACT
EE	EARNED ELIGIBILITY	0	OUARTERLY
FRP	FAMILY REUNION PROGRAM CONTACT	SHU	SPECIAL HOUSING UNIT CONTACT
GCC	GENERAL COUNSELING CONTACT	STR.	SCHEDULED TRANSFER REVIEW
GFR	GUIDANCE FILE REVIEW	TAC	TIME ALLOWANCE CONTACT
I/D	GRAVE ILLNESS/DEATH NOTIFICATION,		The state of the s
	RELATED CONTACTS	TEL	TELEPHONE CALL TO/FROM; EMERGENCY CALL
	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED CONTACT	TŘ	TRANSFER DISCUSSION
MT	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW

NEW YORK STATE - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
COLLINS CORRECTIONAL FACILITY
SEX OFFENDER PROGRAM

	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:		

DATE	CONTAC		
DATE	CODE		STAFF
04/25- 04/29/2022	SOCTP	Level 2 SS: The definition of thinking errors (Sec 2 Mod 6) was reviewed as well as the types of cognitive distortions and how they contribute to the inability to recover. He was directed to complete an assignment in which he identified the top thinking errors he used to support his sexual offending behavior. In core group, he provided a disclosure of his offending behavior to the group. He stated "I hired a prostitute from an escort site. We met for dinner and drinks at a bar, and I withdrew money to pay her. I drew \$500 each time from the ATM for a total of \$1500. We were seen on camera in the restaurant of the hotel almost making out. We eventually went up to the room and engaged in consensual BDSM, which is just rough sex. It was oral sex with no penetration. I paid her the \$1500-that was the deal. She then tried to extort me. she knew I had money. We got into a heated argument, and she pushed and shoved me. I ended up physically assaulting her, hitting her, punching her and open hand slapping her." Records indicate he forcibly raped the victim and then physically assaulted her. He reported that he frequently solicited prostitutes and had no history of being violent with them. He identified BDSM as a deviant sexual interest and acknowledged that power and control arouses him. He reported at this time that he remains in a relationship with his wife and intends to be faithful to his wife and refrain from soliciting prostitutes upon release. He will continue in level 2 at this time.	CB, LMSW2
05/03/2022		Level 2 SS: Tripathy present in group and completed his assignments on cognitive distortions. He identified "money, success, and power define everything" as a top distortion. He presented Handout E- thinking errors related to sexual offending to the group. He identified the situation of "During my I/O, I engaged in consensual BDSM and oral sex with the complainant after an implicit discussion for money in exchange for her time/sex." He said his distorted thought was "I assumed we were all set with this argument, did not get anything in writing or audio/video during our discussions and it just became the complainant's word against mine. Also, the use of prostitution and escorts." He explained how he used the thinking error, what the "real facts" of the situation were, and appeared to have a basic understanding of the material. Next SS group will begin with a review of irrational beliefs (sec 2, module 7).	CB, LMSW2

CODE	REASON	CODE	REASON
_ DA	DISCIPLINARY ASSISTANCE	PCC	PROGRAM COMMITTEE RELATED CONTACT
EE	EARNED ELIGIBILITY	1.50	QUARTERLY COMMITTEE RELATED CONTACT
FRP	FAMILY REUNION PROGRAM CONTACT	SHU	QUARTERLY CONTROL
GCC	GENERAL COUNSELING CONTACT		SPECIAL HOUSING UNIT CONTACT
GFR	GUIDANCE FILE REVIEW	STR	SCHEDULED TRANSFER REVIEW
		TAC	TIME ALLOWANCE CONTACT
I/D .	GRAVE ILLNESS/DEATH NOTIFICATION,		
	RELATED CONTACTS	TEL !	TELEPHONE CALL TO/FROM; EMERGENCY CALL
!	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED	TR	TRANSFER DISCUSSION
	CONTACT	1 'n 1	TICHES EN DISCUSSION
MT	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW
		1 2 (1)	CONTROLLED TRANSPER REVIEW

NEW YORK STATE - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
COLLINS CORRECTIONAL FACILITY
SEX OFFENDER PROGRAM

	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:		

DATE	CONTACT CODE		STAFF
5/3/22		Evaluation for Tripathy	INITIALS
	SOCTP	Evaluation for Tripathy was completed on March 29,2022.  By ORC Sword. His score was 14.	TR, ORC
05/10/2022	SOCTP	Tripathy given behavior contract for lack of responsibility and accountability for offending, placing blame on the victim for offending, and minimizing the violence and victim harm. He reviewed and signed the behavior contract and retained a copy for his records.	CB, LMSW2
05/04- 05/13/2022	SOCTP	Level 2 Core: Tripathy provided feedback to peers presenting sexual abuse cycles and clarification assignments. He also began to present his own sexual abuse cycle during this time. His group conduct was appropriate. He will continue in level 2 at this time.	CB, LMSW2
05/13/2022	SOCTP	Incarcerated individual given the following cube study for 5/16 to 5/18 due to staff's absence for training:  Subject of module: Decision Making, Section 3 Module 4.  As offenders, each of us made a decision to offend our victim(s). Granted, it was not a rational or empathic decision, it was a decision, nonetheless. Consequently, decision making should not be the result of emotions or feelings only but rather a deliberate, thought-out process.  Objectives or Goals of module:  * Understand decision making as a process.  * An individual's decision can directly impact the lives of others.  * Decision making should be a rational process.  DIRECTIONS:  1. Review the Introduction and handouts A, B, C & D.  2. Complete Handout C providing one example of a problem and complete the problem solving process (the boxes on the handout)  3. Review the 3 case studies on handout E and provide your answers for the attached questions. There are no right or wrong answers, be honest about how you would handle these problems.  4. Complete handout F, freedom questionnaire.	CB, LMSW2
] .	- ! 1	le was directed to complete the material by 05/19/2022.	ĺ

CODE	REASON	CODE	REASON
DA	DISCIPLINARY ASSISTANCE	PCC	PROGRAM COMMITTEE RELATED CONTACT
EE	EARNED ELIGIBILITY	0	QUARTERLY
FRP	FAMILY REUNION PROGRAM CONTACT	SHU.	SPECIAL HOUSING UNIT CONTACT
, GCC	GENERAL COUNSELING CONTACT	STR	SCHEDULED TRANSFER REVIEW
GFR	GUIDANCE FILE REVIEW	TAC	TIME ALLOWANCE CONTACT
I/D	GRAVE ILLNESS/DEATH NOTIFICATION.	1	THE TAX TO SERVICE STATE OF TH
	RELATED CONTACTS	TEL	TELEPHONE CALL TO/FROM; EMERGENCY CALL
	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED CONTACT	TR	TRANSFER DISCUSSION
MT	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW

Case 6:22-cv-06469-FPG Document 60-1 Filed 07/11/22 Page 30 of 34 NEW YORK STATE - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION COLLINS CORRECTIONAL FACILITY SEX OFFENDER PROGRAM

	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:		

DATE	CONTACT CODE		STAFF
05/19/2022	SOCTP	Incarcerated individual submitted his cube study to staff on this date. In his freedom questionnaire assignment, he wrote that what freedom means to him is, "to be able to live happily with loved ones safely, securely and have enough resources to live comfortable with meaningful professional and personal pursuits." and that the destructive behaviors he must give up in order to live free are "high risk behaviors such as escorts, prostitution, strip clubs and porn that led into morally corrupt and unhealthy choices." Section 3, module 4 has been completed. Next SS group will begin with an introduction to the concept of cognitive re-structuring (sec 3 mod 5). He will continue in level 2.	CB, LMSW2
05/23/2022	SOCTP	Group members began Section 3 Module 5, Cognitive Restructuring. Group processed the definition of cognitive restructuring and the process. Participants also defined beliefs and values and had a group discussion on their beliefs and values they grew up with and how they have changed and when behaviors contradict values. I/I's were given handout B as homework due Wednesday. I/I participated and appeared to have a fair comprehension of the material. I/I discussed how he values family, but his behaviors contradicted his values.	LJ, LMSW2

CODE	REASON	CODE	REASON
DA	DISCIPLINARY ASSISTANCE	. PCC	PROGRAM COMMITTEE RELATED CONTACT
EE	EARNED ELIGIBILITY	Q	QUARTERLY
FRP .	FAMILY REUNION PROGRAM CONTACT	SHU	SPECIAL HOUSING UNIT CONTACT
GCC	GENERAL COUNSELING CONTACT	STR	SCHEDULED TRANSFER REVIEW
_GFR . :	GUIDANCE FILE REVIEW	TAC	TIME ALLOWANCE CONTACT
1/0	GRAVE ILLNESS/DEATH NOTIFICATION,		
	RELATED CONTACTS	TEL	TELEPHONE CALL TO/FROM; EMERGENCY CALL
H	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED	TR	TRANSFER DISCUSSION
	CONTACT	1	,
MT _	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW



## Case 6:22-cv-06469-FPG Document 60-1 Filed 07/11/22 Page 31 of 34 NEW YORK STATE - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION COLLINS CORRECTIONAL FACILITY

## SEX OFFENDER PROGRAM

NAME:	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:	·	 _

	CONTACT		STAFF
DATE	CODE		INITIALS
		Level 2 Core: Tripathy finished presenting his sexual abuse cycle to group and also presented his clarification assignment. Within his sexual abuse cycle, he reported the following: He was living in North Carolina with his wife and children prior to offending and was traveling to New York for business. He worked as an executive for a large global company and believed he was on track to becoming CEO. He reported a history of advanced education, including Harvard and Stanford Universities. He said he loved his family and wife of 20 years. He did acknowledge cheating on his wife with Escorts. His wife was unaware of his infidelity. Within the buildup phase he identified his	
05/30- 06/1/2022	SOCTP	triggers as strip clubs, sauna clubs, nude bars, watching porn, use of prostitutes, strippers, fantasizing, visiting bars and clubs. His identified high-risk factors included use of escorts, going to strip clubs, and watching pornography. His identified MCR's included indulging in fantasies such as BDSM, threesomes, role-plays, multiple women partners. He reports the fantasies mostly related to power/dominance, and control. Other MCR's include thinking about re-enacting scenes from pornography, BDSM roleplays. He said his AVE's included thinking "she is a prostitute who took my money; how dare she try to extort me this whore; we agreed in advance to BDSM in exchange for money; this lying b****." He identified his fantasies, which did not include raping anyone. He reported he never planned to sexually offend his victim and it was behavior amongst consenting adults. He identified he physically groomed her by kissing, rubbing each other's backs and legs at the restaurant and bar before moving to the hotel room. He said psychological grooming included their discussion of	CB, LMSW2
		BDSM/rough sex and money in exchange for sex. He reported he groomed the social environment by keeping all of his activities secret from his wife and family. He utilized escorts when he traveled only for business and kept credit cards separate. He identified his wife as a trusting person. Tripathy said he groomed himself by indulging in the use of escorts since he was 21 years old and never stopping. He said he justified the behavior by saying it was no one's business, no one needed to know, and it was mostly legal and "consensual". When describing the acting out phase he described the following as PER RECORDS:	
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CODE	REASON	CODE	REASON
DA .	DISCIPLINARY ASSISTANCE	PCC	PROGRAM COMMITTEE RELATED CONTACT
ЕE	EARNED ELIGIBILITY	Q	QUARTERLY
FRP	FAMILY REUNION PROGRAM CONTACT	SHU	SPECIAL HOUSING UNIT CONTACT
GCC	GENERAL COUNSELING CONTACT	STR	SCHEQULED TRANSFER REVIEW
GFR	GUIDANCE FILE REVIEW	TAC	TIME ALLOWANCE CONTACT
I/D	GRAVE ILLNESS/DEATH NOTIFICATION,		
	RELATED CONTACTS	TEL	TELEPHONE CALL TO/FROM; EMERGENCY CALL
. 11	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED	TR	TRANSFER DISCUSSION
	CONTACT		
MT	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW

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NAME:	TRIPATHY, Sanjay	DIN#	18 R 1673	
DATE RECEIVED:		FROM:	<u> </u>	 

DATE	CONTACT CODE		STAFF
		CONT'D FROM PREVIOUS PAGE Tripathy and the complainant (Mette) were inside of the W Hotel. He locked the door of the room and prevented her from leaving. He then struck her numerous times in the face and body with a closed fist causing her to suffer bruising, redness, swelling to her face and body and to suffer a bloody nose and lip, and substantial pain. He pushed her down on the bed and placed his hands on her neck and caused her to have difficulty breathing. He then inserted his fingers into her	
		vagina and anus without her consent and inserted his penis into her mouth without consent. He stated, "These facts have major errors. This is not at all what happened. This is the complainant's version of the events; not mine. This is what the jury heard while they also heard my testimony but decided to convict me for sexual assault." He referred to himself as the "defendant" and stated, "I am 100%	27
		responsible for hiring an escort, engaging in BDSM (role plays and rough sex) and oral sex (consensual between 2 adults; sex in exchange of money) and after the sexual encounter the complainant tried to extort me (for more money else she will destroy my reputation) and also	
05/30- 06/01/2022	SOCTP	became physically threatening when I lost my cool and hit her but she was still aggressive when I hit her (punched and choked) and she sustained injuries. I did not sexually assault the complainant as the altercation occurred after our sexual encounter and did not engage in any of the	CB, LMSW2
		charged crimes. I do take full responsibility for putting myself in a high-risk situation" He then described his justification phase. Although he places blame on victim, his assignment was completed in a thorough, detailed manner. Tripathy also presented his clarification	
		assignment. He identified his patterns of behavior as deception, sexual deviancy, poor coping, power and control combined with narcissism. The example he provided for	
		sexual deviancy is as follows: "escorts taught me BDDSM and now I enjoy it; Most successful men like me do it; It pushes the boundaries of vanilla sex; it is exciting and makes me feel powerful, in control and dominating the	
		activities; role plays are exciting." He described his victim, her vulnerabilities and good qualities. He described how he isolated her and groomed her as well as his offending behavior. He described potential impact he had on the	,
,	CODE	victim. When explaining why he did it he stated, CONT'D ON NEXT PAGE  REASON   CODE   REASON	1
	DA DISCIPLI EE EARNED FRP FAMILY I GCC GENERA	NARY ASSISTANCE PCC PROGRAM COMMITTEE RELATED CONTACT ELIGIBILITY Q QUARTERLY REUNION PROGRAM CONTACT SHU SPECIAL HOUSING UNIT CONTACT L COUNSELING CONTACT STR SCHEDULED TRANSFER REVIEW	
	GFR GUIDANG I/D GRAVE II RELATED II INITIAL I	CE FILE REVIEW TAC TIME ALLOWANCE CONTACT LUNESS/DEATH NOTIFICATION, D CONTACTS TEL TELEPHONE CALL TO/FROM; EMERGENCY CALL NTERVIEW TEM TEMPORARY RELEASE CONTACT	
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#### COLLINS CORRECTIONAL FACILITY SEX OFFENDER PROGRAM

NAME:	TRIPATHY, Sanjay	DIN #	18 R 1673	
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DATE	CODE		INITIALS
05/30- 06/01/2022	SOCTP	CONT'D FROM PREVIOUS PAGE "I did not sexually assault anyone including the complainant but I did hire an escort/prostitute and engaged in sexual acts in exchange of money; I was selfish, narcissist, only wanted to fulfill my sexual desires by engaging in high risk situations that negatively impacted everything I held dear; There is no place or person I learnt this from but from the corporate world where I saw a lot of very successful men dabbled with escorts and it is was normal and also very acceptable; no-one knew, no-one was hurt and no-one needed to know and I followed on the same path; which now I realize was not only wrong, but risky and morally corrupt." Tripathy was respectful in response to feedback.	CB, LMSW2
06/03/2022	SOCTP	May Monthly Evaluation Score: 13.5	CB, LMSW2
06/06- 06/10/2022	SOCTP	Level 2 SS: Section 4, module 5 was completed. Thinking errors related to sexual offending, The definition of social order, social control, and deviance were discussed. He appeared to demonstrate a basic comprehension of the definitions. Section 4, module 6- paraphilias, was introduced. His group behavior was appropriate. Next core group will continue with peer presentations.	
06/10/2022	SOCTP	Journal collected and reviewed. Entries up to date.	CB, LMSW2
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DA	DISCIPLINARY ASSISTANCE	PCC	PROGRAM COMMITTEE RELATED CONTACT
EE	EARNED ELIGIBILITY	Q	QUARTERLY
FRP	FAMILY REUNION PROGRAM CONTACT	SHU	SPECIAL HOUSING UNIT CONTACT
GCC	GENERAL COUNSELING CONTACT	5TR	SCHEDULED TRANSFER REVIEW
GFR	GUIDANCE FILE REVIEW	TAC	TIME ALLOWANCE CONTACT
1/D	GRAVE ILLNESS/DEATH NOTIFICATION, RELATED CONTACTS	TEL	TELEPHONE CALL TO/FROM: EMERGENCY CALL
1)	INITIAL INTERVIEW	TEM	TEMPORARY RELEASE CONTACT
MED	MEDICAL UNIT OR MEDICALLY RELATED CONTACT	TR	TRANSFER DISCUSSION
MT	MERIT TIME CONCERN/REVIEW	UTR	UNSCHEDULED TRANSFER REVIEW

